



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/775,455	02/02/2001	Yasuo Ishihara	54399039

CONFIRMATION NO. 1570

000128
HONEYWELL INTERNATIONAL INC.
101 COLUMBIA ROAD
P O BOX 2245
MORRISTOWN, NJ 07962-2245

FORMALITIES LETTER



OC000000005859323

Date Mailed: 03/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1182.
 - \$702 for 39 total claims over 20.
 - \$480 for 6 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2022.

A copy of this notice MUST be returned with the reply.

Ahmad

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/11/2001 EEXUBAY1 00000040 011125 09775455

01 FC:101	710.00 CH
02 FC:103	702.00 CH
03 FC:102	480.00 CH
04 FC:105	130.00 CH



#3
CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first Class mail in an envelope addressed to:
Assistant Commissioner for Patents,
Washington, D.C. 20231, on this date.

Christina Balsam
Signature of Depositor
Date: 04/04/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

15 In re application of: Ishihara, et al.)
Serial No.: 09/775,455)
Filed: 02/02/2001)
20 Title: Method, Apparatus and)
Computer Program Product)
For Unstabilized Approach)
Alerting Algorithm)
25 Group No.: 3641)
Examiner: Not Assigned)

**Response To Notice to File Missing
Parts of Application
Filing Date Granted**

30 **Attention: Box Missing Parts**
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir,

35 Please charge the \$130.00 surcharge under 37 CFR § 1.16(e) for filing the executed
Declaration later than the filing date of the above-identified patent application.

Applicant encloses copies of the Fee Transmittal sheet and Return Receipt postcard which
contains an official USPTO sticker acknowledging filing date and serial number assigned to the
application. Both items properly indicate the correct fees and both items authorize the Assistant
40 Commissioner of Patents to withdraw any fees necessary for the processing of this application.

Applicant repeats their authorization for the withdrawal of the following fees:

Basic Filing Fee:	\$ 710.00
Additional Claim Fee:	\$ 702.00
Excess Independent Claim Fee:	\$ 480.00
45 For a Total Filing Fee:	\$1, 892.00

Please charge deposit account 01-1125 the sum of \$2,022.00 to cover all fees required to
5 satisfy the requirements of the Notice of Missing Parts.

Applicant hereby authorizes the Commissioner to charge any additional fees required or credit
any overpayment to the aforesaid Deposit Account throughout the pendency of this application.

A copy of the Notice to Filing Missing Parts of Application, the Executed Declaration, a copy
of the Fee Transmittal and Return Receipt Postcard are all attached hereto.

10

This letter is included in triplicate.

Respectfully submitted,

15

Agent: 

Eric G. Halsne

Registration No.: 46,753

Date: April 4, 2001

Post Office Address: Honeywell International Inc.

20

101 Columbia Road

P.O. Box 2245

Morristown, NJ 07962

Phone Number:

(425) 376-2107



Attorney Docket: 54399039 Re: Patent Application papers of Ishihara et al.
Filed On: February 2, 2001 Via Express Mail label: EL699610182US
Title: Method, Apparatus and Computer Program Product for Unstabilized Approac
Alerting

15 pages Spec
08 pages Claims
01 page Abstract
05 pages Formal Dvgs (Fig 1-5D)
Un-Executed Declaration
Information Disclosure and References

Basic Filing Fee: \$710.00
Additional Claims \$702.00
Independent Claims \$480.00
TOTAL FEE: \$1,892.00 ✓

Charge Deposit Account: 01-1125

Assistant Commissioner for Patents -Box Patent Application
Please acknowledge the receipt of the above by applying the *Official Patent Office* date stan
on this card and mailing it. Sincerely, Honeywell International Inc.

J1033 U.S. PTO

09/775455



02/02/01

RECEIVED
FEB 08 2001
REDMOND
LAW DEPT.



PTO/SB/17 (2/98)
Approved for use through 9/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 1892.00

Complete if Known

Application Number	Unknown
Filing Date	Herewith
First Named Inventor	Ishihara
Examiner Name	N/A
Group / Art Unit	N/A
Attorney Docket No.	54399039

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number
Deposit Account Name

01-1125

Honeywell International Inc.

- ☒ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	710.00
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	
SUBTOTAL (1)			(\$ 710.00)

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims 59	-20** = 39	X 18	= 702.
Independent Claims 9	-3** = 6	X 80	= 480
Multiple Dependent			

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 1182.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,210	241 605	Petition to revive - unintentional	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 690	246 345	Filing a submission after final rejection (37 CFR 1.129(a))	
149 690	249 345	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____			
Other fee (specify) _____			

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) _____

SUBMITTED BY

Typed or Printed Name Eric G. Halsne

Signature

Eric G. Halsne

Date

Feb 2, 2001

Complete (if applicable)

Reg. Number	46,753
Deposit Account User ID	01-1125

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.